



Hotărâre nr. 116/23.09.2024
privind aprobarea Regulamentului Universității Babeș-Bolyai de
organizare și desfășurare a studiilor universitare de doctorat

Având în vedere Legea Învățământului Superior nr. 199/2023,
Luând în considerare Hotărârea Consiliului de Administrație al Universității
Babeș-Bolyai nr. 10692/12.07.2024,
Văzând avizele favorabile ale Comisiei pentru regulamente și probleme juridice și
Comisiei pentru cercetare științifică,
În temeiul art. 37 lit. e din Carta Universității,

Senatul Universității Babeș-Bolyai,
reunit în ședință la data de 23 septembrie 2024,
hotărăște:

Articol unic. Se aprobă *Regulamentul Universității Babeș-Bolyai de organizare și desfășurare a studiilor universitare de doctorat*, în forma anexată prezentei hotărâri.

Președinte
Prof. univ. dr. Florin Streteanu

Secretar
Anca Ghingheli



UNIVERSITY REGULATIONS BABEȘ-BOLYAI ORGANIZATION AND CONDUCT OF THE DOCTORAL STUDIES

- Approved by HS no. 116/23.09.2024

2024

Table of Contents

1. Preamble	2
2. General provisions	3

3. Institute for Doctoral Studies	6
4. Council for Doctoral Studies (CSUD)	7
5. Doctoral Schools	9
6. Admission to the PhD	12
7. Conducting doctoral studies	15
8. Doctoral supervisors	17
9. PhD students	18
10. Doctoral course	20
Training program based on advanced university studies	21
Individual Scientific Research/Artistic Creation Program:	22
Provisions regarding the doctoral course	23
Extension of doctoral studies	23
Interruption of doctoral studies	24
Equivalence	25
Mobility of the doctoral student during doctoral studies	26
Change of doctoral supervisor	27
Withdrawal from doctoral studies	27
Expulsion from doctoral studies	28
Fees.....	28
11. Completion of the doctoral program	29
Elaboration and public defense of the doctoral thesis.....	29
12. Quality assurance of doctoral degree programs	33
13. Transitional and final provisions	36

1. Preamble

Art. 1 - Legal framework

This Regulation is based on the following documents:

- a) The Higher Education Law no. 199/2023;
- b) Emergency Ordinance no. 95 of June 28, 2024 for the amendment and completion of the Law on Pre-University Education no. 198/2023 and the Higher Education Law no. 199/2023;
- c) ORDER No. 3020/2024 of 8 January 2024 for the approval of the Framework Regulation on doctoral studies;
- d) ORDER No. 3692/2024 of February 1, 2024 on the list of nationally recognized programs in order to establish the degree of similarity for the completion of studies;
- e) ORDER No. 3693/2024 of 1 February 2024 for the approval of the Framework Methodology regarding the organization of admission to higher education in short-term, bachelor's, master's and doctoral cycles;
- f) Emergency Ordinance no. 95/29 June 2024 amending and supplementing the Law on Pre-University Learning no. 198/2023 and the Law on Higher Education no. 199/2023;

- g) Order no. 3482 of 24 March 2016 on the approval of the Regulation on the organization and functioning of the National Council for the Attestation of University Titles, Diplomas and Certificates and the Regulation on the organization and functioning of the National Council for the Attestation of Titles, Diplomas and Certificates
University;
- h) Minister's Order no. 5110 of September 17, 2018 on the approval of the minimum national standards for granting the doctoral degree;
- i) Order no. 3131/2018 of January 30, 2018 on the inclusion in the curricula, for all university study programs organized in higher education institutions in the national education system, of ethics and academic integrity courses.

2. General provisions

Art.2 – Terms and expressions, abbreviations and acronyms

The terms and expressions below are used in this Regulation and have the same meaning as that given in OM no. 3020/2024 in the Framework Regulation on doctoral studies at Art. 2.

- a) doctoral studies;
- b) doctoral degree program;
- c) field of doctoral studies;
- d) the institution organizing doctoral studies (IOSUD). In the case of this regulation, IOSUD is the Babeş-Bolyai University (UBB) or IOSUD-UBB;
- e) the Council for Doctoral Studies (CSUD);
- f) doctoral school (SD);
- g) the doctoral student;
- h) the doctoral supervisor;
- i) the doctoral university study contract;
- j) academic mobility;
- k) doctoral thesis;
- l) doctoral degree;
- m) the council of the doctoral school (CSD);
- n) co-author of a publication;
- o) preparation of results or data;
- p) falsifying results or data;
- q) plagiarism;
- r) self-plagiarism;
- s) doctoral studies in co-supervision - the doctoral student carries out his/her activity under the simultaneous guidance of a doctoral supervisor from Romania and a doctoral supervisor from another country or under the simultaneous guidance of two doctoral supervisors from different IOSUDs in Romania, or under the guidance of two supervisors from the same IOSUD, under the conditions provided by law and based on a written agreement between the organizing institutions involved. These aspects will be found in the co-tutorship study contract;
- t) Dual higher education is the form of education in which responsibilities for the performance of learning, teaching, application, research and assessment activities are shared between the accredited higher education institution and economic operators.

Art. 3 – Types of doctorate (scientific and professional, dual doctorate)

- (1) Doctoral study programs are organized in doctoral schools and are of two types:
 - a) scientific doctorate, which aims to produce original, internationally relevant scientific knowledge, based on scientific methods, organized only in the form of full-time education. It can also be carried out under the legal provisions, with a fee. The scientific doctorate is a condition for a professional career in higher education and research. Following the completion of the scientific doctoral studies, Babeş-Bolyai University confers the diploma and the title of doctor of science, corresponding to the acronym Dr. In the diploma certifying the obtaining and holding of this doctoral degree, the disciplinary or interdisciplinary field of the doctorate is expressly mentioned.
 - b) professional doctorate, in all fields, including dual university education, which aims to produce original knowledge based on the application of the scientific method, systematic reflection or applied research on artistic creations, on high-level national and international sports performances or on topics of practical importance and which constitutes a basis for a professional career in higher education and research, in the areas concerned. Following the completion of the professional doctoral studies, Babeş-Bolyai University confers the diploma and the title of doctor in a professional field, corresponding to the acronym Dr.P. In the diploma certifying the obtaining and holding of the title of doctor in a professional field, the professional field of the doctorate is expressly mentioned. In the fields of arts and sports, scientific doctoral studies can be carried out if the research topic is circumscribed to the above provisions.
- (2) Each doctoral school of Babeş-Bolyai University will specify individually, for each doctoral student position offered upon admission, the type of doctorate it offers, based on a decision of the doctoral school council, in accordance with the accreditation documents of the doctoral school and the provisions of its own regulations.
- (3) Dual higher education is organized for the third cycle through professional doctorate according to a methodology approved by order of the Minister of Education. The main characteristics of dual education are:
 - a) The dual higher education curriculum contains learning, teaching and work-based learning activities, as well as assessment. The workload specific to learning, teaching and work-based learning activities is shared equally. The workload is estimated in accordance with ECTS/SECT, expressed in terms of study credits.
 - b) IOSUD-UBB organizes and carries out learning, teaching and evaluation activities, and economic operators organize work-based learning activities and participate in evaluation. Applied and research activities can be carried out both at IOSUD-UBB and at economic operators.
 - c) IOSUD-UBB concludes the partnership contract with the economic operators, which establishes the conditions of collaboration, the rights and obligations of the parties, as well as the costs assumed by the partners.
 - d) The doctoral student concludes with IOSUD-UBB and the economic operator an individual contract for studies and practical/research training, which establishes the rights and obligations of the parties.
- (4) The competences acquired after passing the doctoral studies are those related to the level 8 qualification of the EQF/CEC and the CNC.
- (5) The doctoral study programs ensure the formation of professional skills (content, cognitive and research) in specialized fields, as well as transversal skills.
- (6) For the purposes of this Regulation, the following domain-specific competences are qualified as professional competences:
 - a) advanced knowledge in the field;
 - b) the ability to identify, formulate and solve research problems in a creative manner;
 - c) mastery of advanced research methods and techniques;
 - d) knowledge of research project management;

- e) mastery of new processes and solutions in research;
 - f) skills in documenting and capitalizing on scientific papers;
 - g) ability to write scientific papers and other academic materials at an advanced level, in a style appropriate to the field of study and in compliance with its specific rigors at national and international level;
 - h) the ability to process and process data at an advanced level, including through the use of dedicated software, depending on the domain;
 - i) linguistic skills at academic level in languages of international circulation necessary for the documentation and elaboration of scientific papers;
 - j) understanding and ability to apply the principles and values of scientific research ethics in the respective field.
- (7) For the purposes of this Regulation, the following shall be considered as transversal competences:
- a) written and oral communication skills in the field of science and culture;
 - b) advanced language skills in international languages, including expressing and formulating ideas in multicultural and multilingual contexts;
 - c) advanced digital skills and competences, part of the digital transformation at the social level, including through the use of artificial intelligence; d) interrelating and teamwork skills;
 - e) knowledge of human, material and financial resource management;
 - f) knowledge of career management, as well as the acquisition of techniques for job search and job creation for others; g) knowledge of risk, crisis and failure management;
 - h) knowledge of critical thinking, including the ability to analyze, interpret or formulate reasoning in different contexts;
 - i) knowledge of the use of legislation in the field of intellectual property rights;
 - j) the ability to innovate and the acquisition of concepts regarding economic, technological and social entrepreneurship.

Art. 4 – Forms of education (full-time, part-time), specifications

- (1) The doctoral scientific study programs are organized only in the full-time form of education.
- (2) The professional doctorate study programs can be organized, as a rule, in the full-time form of education.
- (3) By exception to the provisions of para. (2), the professional doctorate university study programs may also be organized in the form of part-time education.
- (4) The obligations regarding the full-time and part-time form are proposed at the level of each doctoral school, through its own regulation, according to the characteristics of the field and the activities necessary for the observance of the individual program of doctoral studies and for the elaboration of the doctoral thesis, are endorsed by the Council of the faculty to which the Doctoral School is subordinated, by CSUD and by the Board of Directors of UBB and approved by the University Senate.
- (5) When establishing these obligations, the number of mandatory credits and the workload of a doctoral student for each credit will be taken into account according to the legislation in force.
- (6) When drawing up the individual study plans, the doctoral supervisor together with the doctoral student will comply with these obligations, taking into account the fact that each discipline has a function of fundamental, specialized or complementary training as well as the research component of the individual doctoral study program.
- (7) The candidate for the professional doctorate studies may opt at the time of registration for the admission competition for one of the forms of education, in accordance with the obligations provided by the regulation.

Art.5 – Forms of financing (financing from the budget/ with a fee/ financing from other sources), clarifications

- (1) The doctoral studies are organized by the Institute of Doctoral Studies of Babeş-Bolyai University. They are carried out only within the doctoral study programs offered by the doctoral schools, provisionally authorized or accredited, of the Babeş-Bolyai University. The doctoral schools are subordinated to the Institute of Doctoral Studies.
- (2) Doctoral studies are organized with funding from the state budget, on a fee basis or from other legally constituted sources.
- (3) The financing of doctoral studies can also be carried out by legal entities of private law or by research and development institutes, based on a contract with IOSUD-UBB. (4) The legal entities of private law may propose to the doctoral supervisors of IOSUD-UBB research topics relevant to their area of interest and for which they are willing to provide additional funding. At the proposal of the doctoral supervisors, IOSUD-UBB may conclude contracts with the respective legal entities of private law through which the rights and obligations of the parties are established.
- (5) By Government decision initiated by the Ministry of Education, a number of places equal to the number of grants is allocated annually for doctoral studies. In the methodology for calculating the funding of higher education institutions, the costs, by fields and types of the doctorate, for the advanced studies program and for the individual scientific research or applied research program, as the case may be, will be taken into account.
- (6) The allocation of places and grants to IOSUD-UBB is approved by order of the Minister of Education.
- (7) Grants related to doctoral studies include the amount of individual full-time scholarships and do not include the amount of individual part-time scholarships.
- (8) IOSUD-UBB transparently validates, through its own regulation, the criteria and the way in which the budgeted places and doctoral scholarships are distributed to doctoral schools, as well as the minimum percentage of the doctoral grant allocated exclusively to the financing of the professional training of doctoral students.
- (9) IOSUD-UBB will allocate at least 20% of the funding on doctoral grants for the research activities carried out by the doctoral students, including for their national and international mobility.
- (10) The payment of the rights of the doctoral student is made according to the law, without excluding other forms of remuneration provided by the legal provisions in force.
- (11) **A person can benefit from funding from the budget for only one doctoral degree program.**
- (12) Persons who have the appropriate financial resources, either from their own sources or from scholarships granted by individuals or legal entities, may be admitted as fee-paying doctoral students, under the conditions provided in the IOSUD-UBB own fee regulations.

3. Institute for Doctoral Studies

Art. 6.

- (1) IOSUD is the higher education institution that has acquired the recognition of this quality following the external quality evaluation process, according to the law.
- (2) The legal representative of IOSUD is the rector of the University.
- (3) IOSUD-UBB is responsible for approving, communicating and complying with its own regulations for the organization and conduct of doctoral study programs, developed on the basis of the law and this regulation, in compliance with the provisions of art. 12 of the Higher Education Law no. 199/2023, as subsequently amended and supplemented.
- (4) IOSUD-UBB will be permanently concerned with increasing the quality of the educational and research experience of its doctoral students, by creating as many facilities as possible for personal and professional development, by ensuring the

conditions for study and research in foreign languages and increasing the number of international mobility of doctoral students. Thus, at least 20% of the funding on doctoral grants will be allocated for the research activities carried out by the doctoral students, including for their national and international mobility.

- (5) The involvement of IOSUD-UBB in the development of partnerships with prestigious higher education institutions abroad, as well as the number of international mobilities of doctoral students and doctoral supervisors are a criterion for the periodic evaluation of IOSUD.
- (6) The doctoral schools, through their own regulations, establish the criteria for the recognition of internships carried out within previous programs or mobilities carried out by the doctoral student. If activities have been carried out for which credits have been obtained, they may be recognised in accordance with the Transferable Appropriations Regulation as well as in accordance with the specifications in the curricula and the similarity elements in the syllabus.
- (7) CSUD validates the recognition of internships approved by the subordinate doctoral schools and carried out by doctoral students within internal and international mobility.
- (8) IOSUD-UBB may conclude partnerships for the development of doctoral degree programs with other higher education institutions and/or with research and development units or with private legal entities.
- (9) IOSUD-UBB provides institutional, administrative and logistical support to subordinate doctoral schools.
- (10) IOSUD-UBB has, within its own institutional framework, specific structures for the management and administration of doctoral study programs, including at the level of doctoral schools. These structures operate according to the law and this regulation.
- (11) IOSUD-UBB develops and implements mechanisms to increase the retention of doctoral students in the university environment, including by supporting doctoral students from disadvantaged socioeconomic backgrounds, without eliminating academic criteria and merits.
- (12) IOSUD-UBB, in collaboration with the Center for Career Counseling and Guidance, UBBCORE, or other existing structures at IOSUD level, as the case may be, organizes specific activities dedicated to doctoral students: counseling on participation in academic/research events; periodic courses in academic writing, academic integrity, scientific communication; counseling and support in the administrative area, as well as other activities in support of doctoral students.

4. Council for Doctoral Studies (CSUD)

Art. 7.

- (1) At the level of IOSUD-UBB, the doctoral training activity is coordinated by CSUD.
- (2) The CSUD operates on the basis of the Higher Education Law no. 199/2023, as subsequently amended and supplemented, of the provisions of this regulation and of IOSUD-UBB's own regulations.
- (3) The main tasks of the CSUD are:
 - a) establishing the IOSUD-UBB strategy;
 - b) developing its own regulation for the organization and conduct of doctoral study programs at IOSUD level and obtaining the approval of the UBB Senate;
 - c) formulating proposals regarding the establishment and abolition of the doctoral schools within IOSUD-UBB;
 - d) selection of doctoral supervisors who are part of a newly established doctoral school;
 - e) elaboration of the requirements for the writing of doctoral theses;
 - f) developing the standards of ethics and deontology applicable during the doctoral studies;

- g) other specific attributions, established by the IOSUD-UBB own regulation, according to the law;
 - h) elaborates the criteria regarding the organization and functioning of the doctoral study programs of the doctoral schools, including those regarding the admission to these programs, the curricula, the evaluation of doctoral students, the criteria for the selection of official referees for the doctoral committees;
 - i) ensures that the criteria for completion, evaluation and capitalization of the results of doctoral study programs, including doctoral theses, are respected;
 - j) develops the procedures for periodic evaluation, at intervals of maximum 5 years, of the management activity of doctoral students submitted by the tenured doctoral supervisors;
 - k) approves the doctoral study programs of doctoral schools and submits them to the Senate of Babeş-Bolyai University for approval;
 - l) put forward proposals, including in the form of support for regulatory initiatives, to support the employment of graduates of doctoral programmes on the labour market;
 - m) develops methodologies for highlighting, over time, the professional career of graduates of doctoral studies;
 - n) advances its own proposals and endorses the proposals of the doctoral schools aimed at establishing agreements and partnerships/consortia for the development of doctoral degree programs and co-supervised doctorates;
 - o) proposes the distribution of the resources intended for the financing of doctoral degree programs and submits them for approval to the Senate of Babeş University. Bolyai;
 - p) carries out activities to promote the image of doctoral studies in Babeş-Bolyai University nationally and internationally;
 - q) analyzes and resolves the complaints submitted to the Institute for Doctoral Studies. (4) The CSUD shall be headed by a director, who is an ex officio member of the CSUD. The position of director of the CSUD is assimilated to the position of vice-rector.
- (5) The director of CSUD is appointed following a public competition organized by the legal representative of IOSUD-UBB, based on a methodology approved by the university senate.
- (6) The members of the CSUD are elected by universal, direct, secret and equal vote of the doctoral supervisors and doctoral students from the doctoral schools of IOSUD-UBB.
- (7) The CSUD is made up of 17 members.
- (8) The mandate of the members of the CSUD **is 5 years.**
- (9) The members of the CSUD are:
- a) doctoral supervisors within IOSUD-UBB according to the legislation on the definition of doctoral supervisors;
 - b) doctoral supervisors from the country or abroad;
 - c) representatives of doctoral students from the doctoral schools in IOSUDUBB, in a proportion of 25%.
- (10) The status of doctoral student representative in the CSUD, in the council of the doctoral school, hereinafter referred to as the CSD, or in other representative structures at the level of the higher education institution cannot be conditioned in any way, except for the fulfillment of the obligations provided for in art. 15. The interventions of the teaching staff in the process of electing doctoral students constitute a disciplinary offense and are sanctioned according to the provisions of art. 175 of the Higher Education Law no. 199/2023, as subsequently amended and supplemented.
- (11) The CSUD shall meet monthly or whenever necessary, at the request of the Director of the CSUD or at least one third of its members.

- (12) The administrative activities related to the conduct of the doctorate in Babeş-Bolyai University and the actions of the Institute of Doctoral Studies are carried out by the secretariat of the Institute of Doctoral Studies. The members of the secretariat of the Institute for Doctoral Studies are employed with employment contracts, for a fixed or indefinite period, of the Babeş-Bolyai University and are subordinated to the director of the CSUD.

5. Doctoral Schools

Art. 8

In order to train a new generation of researchers, the purpose of doctoral schools is to ensure the improvement and extension of the training, attested by the diploma obtained at the end of the master's degrees, through teaching, seminaring, evaluation, scientific research, capitalization of scientific research, technological development, design and artistic creation, according to the specifics of the doctoral field.

- (1) The general framework for the organization and functioning of the doctoral schools is established by this regulation and by the own regulations of the doctoral schools.
- (2) One or more doctoral schools may operate within IOSUD-UBB. The establishment and abolition of doctoral schools is proposed by the CSUD and approved by the university senate.
- (3) The doctoral school ensures the improvement and extension of the training of doctoral students through teaching, seminaring, evaluation, scientific research, technological development, design and artistic creation activities, appropriate to the specificity of the doctoral fields in which study programs are organized.
- (4) In the structure of an IOSUD, a doctoral school has a rank equal to that of a department. The doctoral school is headed by the director of the doctoral school and the council of the doctoral school. The director of the doctoral school is assimilated to the director of the department. The Council of the Doctoral School is assimilated to the Council of the Department. The director of the doctoral school is an ex officio member of the council of the doctoral school.
- (5) The results of a doctoral school must be reflected in the scientific level of the publications and doctoral theses elaborated by the doctoral students who attended the respective school.
- (6) Each academic year, doctoral schools organize for doctoral students at least one session of scientific communications, open to other young researchers from Babeş-Bolyai University or from outside it.
- (7) The director of the doctoral school carries out the management and operative management of the doctoral school. It is responsible for the development and implementation of curricula, status of functions, research and quality management and supports the management of IOSUD in the financial management of the doctoral school. In exercising this function, he is assisted by the council of the doctoral school.
- (8) The selection, hiring, periodic evaluation, training, motivation and termination of the contractual employment relations of the staff are the responsibility of the director of the doctoral school, according to the provisions of the university charter.
- (9) The statements of functions are drawn up at the level of the departments or doctoral schools, in consultation with their members, and are endorsed by the faculty council or, as the case may be, by the council of the doctoral school, respectively by the rector of the higher education institution and are approved by the university senate.
- (10) The list of functions of the doctoral school includes all the positions of doctoral supervisor necessary for the functioning of the respective doctoral school and all the positions of doctoral student under the coordination of the doctoral supervisors. The minimum number of doctoral supervisors and doctoral student positions is calculated

according to the number of fields in which the respective doctoral school organizes doctoral study programs.

- (11) The number of positions for the auxiliary teaching staff and for the administrative staff is established by the university senate, at the proposal of the rector, depending on the budget and specificity of the doctoral school and according to the technical-administrative structure.
- (12) In the event that the teaching norm cannot be composed according to the law, the differences up to the minimum teaching norm shall be completed with scientific research activities, with the agreement of the doctoral school council, at the proposal of the director of the doctoral school and with the approval of the administrative board. The reduction of the teaching norm is no more than 1/2 of the respective norm, and the research hour is equivalent to 0.5 conventional hours. The teacher maintains his/her quality of tenure in the teaching position obtained through the competition.
- (13) In doctoral schools, research staff with an individual employment contract for a fixed or indefinite period may also function on distinct positions.
- (14) The research staff in higher education carry out specific activities, established in the individual job description by the management of the doctoral school.
- (15) The doctoral school is organized and operates with doctoral supervisors who have acquired this right, according to the law. A doctoral school can be established only if it includes at least 3 doctoral supervisors for whom it organizes doctoral studies.
- (16) A doctoral school can be established if at least the following criteria are met:
 - a) is based on a nucleus consisting of at least 3 doctoral supervisors in the field/fields, all of whom have an employment contract for an indefinite period with Babeş-Bolyai University;
 - b) has at Babeş-Bolyai University the scientific information resources and equipment necessary to carry out scientific research in the field in the best conditions;
 - c) it has ensured – from budgetary or extra-budgetary resources – financial sustainability;
 - d) there are links with the scientific, economic, cultural and social environment that contribute to the achievement of the objectives of the doctoral school and that can provide additional funding or endowment.
- (17) The doctoral school may impose transparent minimum standards of scientific performance that doctoral supervisors must meet in order to become members of the respective doctoral school.
- (18) In addition to doctoral supervisors, other researchers or teaching staff involved in research and/or teaching activities within the doctoral school, from IOSUD's partner institutions or from other institutions or research and development units, from the country or abroad, may be affiliated to a doctoral school.
- (19) At the level of the doctoral school, the director of the doctoral school and the members of the doctoral school council are elected by universal, direct and secret vote of the doctoral supervisors and doctoral students of the respective doctoral school.
- (20) The persons who have the quality of doctoral supervisor in the respective doctoral school have the right to be elected as members of the council of the doctoral school. The mandate of the members of the CSD is 5 years.
- (21) The Doctoral School Council can consist of a minimum of 3 and a maximum of 5 doctoral supervisors from the doctoral school.
- (22) Doctoral students have at least one representative on the doctoral school council. (23) Doctoral schools shall provide doctoral students with a training program based on advanced university studies consisting of activities carried out in institutionalized study formations, through courses, seminars, laboratories and the like.
- (24) The training program based on advanced university studies includes a discipline of ethics and academic integrity, including ethics of scientific research, which must be completed by all enrolled doctoral students.

(25) Doctoral schools can organize research centers or laboratories that function as units of income and expenditure within IOSUD-UBB.

(26) The manner of conducting the doctoral study program is established by the doctoral supervisor, with the consultation of the doctoral student, and endorsed by the doctoral school council.

Art. 9 The Council of the Doctoral School has as main attributions the following:

- a) elaborates the regulations of the doctoral school;
- b) decides to grant/withdraw the quality of full doctoral supervisor, respectively the quality of affiliated university professor or affiliated researcher, taking into account the provisions of the doctoral school regulations;
- c) decides, at the proposal of the titular doctoral supervisors, the enrollment in doctoral studies of the candidates selected through the admission competition;
- d) if applicable, decides, at the proposal of the tenured doctoral supervisors, the expulsion of doctoral students;
- e) reallocates the supervision of an ongoing doctorate to another doctoral supervisor in the situation where the doctoral supervisor has reached the age of 65 and does not wish to continue his/her doctoral supervisory activity; f) approves the list of functions of the doctoral school;
- g) elaborates the content of each doctoral degree program (the disciplines that are the subject of the teaching activity in the doctoral degree program, the number of hours assigned to the course, seminar and applied works within a discipline, the number of transferable credits allocated to the disciplines and the list of teachers teaching within the program), including the share of individual activities, activities in study formations and research activities carried out under the coordination of doctoral supervisors;
- h) approves the individual doctoral study plans of doctoral students;
- i) implements procedures for highlighting the results of doctoral research in order to evaluate doctoral theses and endorse them for public defense;
- j) implements and follows the exact observance of the structure of the doctoral study programs;
- k) ensures the internal evaluation of the doctoral study programs, based on which the doctoral school will review, modify and adapt the structure of the doctoral study programs;
- l) guarantees the correct and complete information of candidates for doctoral studies on the method of evaluation and selection at the admission competition, on the content of the doctoral study programs and the criteria for evaluating the research results, as well as on the ways of capitalizing on the doctoral studies;
- m) defines and implements the rules according to which the way of cooperation between doctoral supervisors and doctoral students will be established;
- n) establishes the scientific performance standards that the members of the doctoral committees must meet and draws up a list of institutions in the country that do not belong to the "Universitaria" consortium and from which official referees (higher education institutions, national research institutes or their subsidiaries) can be selected, as part of the regulations of the doctoral school; o) approves the doctoral committees;
- p) assists the external evaluator in the evaluation process for the provisional authorization or accreditation/re-accreditation of the doctoral school.

Art.10 Regulations of the Doctoral School

(1) The regulations of the doctoral school are elaborated by the council of the doctoral school in consultation with all the doctoral supervisors in the respective school, in compliance with the regulations in force.

(2) The Regulation on the organization and functioning of the doctoral school shall be approved by the universal, direct, secret and equal vote of the absolute majority of the titular doctoral supervisors of the respective school. The regulation for the organization and functioning of the doctoral school will also be endorsed by the council of the faculty to which that doctoral school is assigned and, subsequently, it is approved by the CSUD.

(3) The regulations of the doctoral school shall be supplemented, whenever necessary, with new legal provisions concerning aspects of the activity of the doctoral school.

(4) The Doctoral School Regulations establish, for each of the doctoral study programs offered by it, mandatory criteria, procedures and standards regarding at least the following aspects:

- a) granting/withdrawing the quality of full doctoral supervisor, respectively the quality of affiliated university professor or affiliated researcher;
- b) the type(s) of doctorate (scientific and/or professional, dual) organized at the level of the doctoral school;
- c) selection and admission of doctoral students;
- d) the previous performance of doctoral candidates and the study requirements;
- e) the attendance obligations of doctoral students, according to the provisions of the methodologies developed by the Ministry of Education;
- f) activities for the training program based on advanced university studies of doctoral students;
- g) research activities of doctoral students depending on the research topic, framing in research teams/collectives and ensuring access to research resources;
- h) guidance of doctoral students in terms of the relations between doctoral students and doctoral supervisors, of the procedures for changing the doctoral supervisor and, in general, of the formal criteria involved in the supervision of doctoral students;
- i) the ways of preventing and sanctioning fraud in scientific research, including plagiarism;
- j) evaluation of doctoral students, especially on how to capitalize on doctoral research through publications, participation in scientific conferences, etc.;
- k) periodic evaluation of the full doctoral supervisors, especially oriented towards the scientific results obtained, such as: own research performance, scientific performance of the supervised doctoral students, etc.;
- l) developing and implementing indicators for monitoring and internal evaluation of doctoral study programs;
- m) organisation and functioning of bodies competent to deal with appeals regarding the application of the provisions of the regulations in force;

(5) The list of functions of the teaching and research staff within the doctoral schools assigned to the UBB faculties is elaborated by the director of the doctoral school, in consultation with their members, following the specification of the teaching and research tasks by the doctoral school council. The list of functions is endorsed by the Council of the Doctoral School, the Faculty Council and the Board of Directors of UBB and is approved by the UBB Senate.

(6) The statements of functions of the teaching and research staff of the doctoral schools are drawn up annually, before the beginning of each academic year and cannot be changed during the academic year, approved by the Regulation on the preparation of the statements of functions approved by the Senate.

6. Admission to the PhD

Art.11

(1) Admission to doctoral studies is the process of selecting candidates for the vacant positions of doctoral student that the doctoral supervisors within the doctoral school decide

to propose for employment during the admission sessions organized by IOSUD-UBB, through the doctoral schools.

(2) Only graduates with a master's degree or its equivalent are entitled to participate in the admission competition for doctoral studies, and the cumulative number of transferable study credits acquired, for the bachelor's and master's degree cycle, is at least 300. The recognition of the credits necessary for admission to the doctorate will be made based on a specific procedure, approved by the University's Board of Directors.

(3) According to the provisions of the legislation, the bachelor's degree of the graduates of long-term higher education in the period prior to the application of the three Bologna-type cycles is equivalent to the master's degree in the specialty. Consequently, holders of long-term higher education diplomas have the right to enroll in doctoral studies without the obligation to complete the second cycle of master's degree studies.

(4) Citizens of the Member States of the European Union, of the States belonging to the European Economic Area and of the Swiss Confederation, as well as British citizens and their family members, as beneficiaries of the Agreement on the withdrawal of the United Kingdom of Great Britain and Northern Ireland from the European Union and the European Atomic Energy Community 2019/C 384 I/01, may participate in admission to all cycles of university studies, under the same conditions provided by law for Romanian citizens, including in terms of tuition fees.

(5) The research topics related to each vacant position of doctoral student are proposed by the doctoral supervisor and approved by the council of the doctoral school.

(6) The form of funding of each doctoral student-student position proposed for occupation is approved by the CSUD before their competition, depending on the research topic proposed by the doctoral supervisor, in accordance with the institutional strategy of financing priority areas and areas of interest and based on IOSUD's own regulation.

(7) Admission to doctoral studies is based on a competition organized by Babeş-Bolyai University, through the Institute of Doctoral Studies and doctoral schools, in accordance with the framework methodology on the organization of admission to higher education institutions in Romania, developed by the relevant ministry and with the Babeş-Bolyai University's own regulations.

(8) Enrollment in the admission competition for doctoral studies in a doctoral field is not conditioned by the field in which the bachelor's or master's degree was acquired.

(9) On the occasion of registering for the admission competition, the candidate for doctoral studies chooses a doctoral supervisor, from among those doctoral supervisors of Babeş-Bolyai University who are allocated places at admission and competes for the position of the respective supervisor. In order to register for the admission competition, foreign candidates (*EU and non-EU*) and candidates in the category of *Romanians abroad* must obtain from the future doctoral supervisor the Agreement in principle signed by him.

(10) Admission to doctoral studies is conditional on passing the entrance exam, according to the legal provisions in force.

(11) The selection of the doctoral candidate for a vacant position is organized by the doctoral supervisor who supervises the respective position within the admission competition. In case of success, that doctoral supervisor will coordinate the doctoral studies of the respective candidate within the doctoral school where the doctoral supervisor is tenured.

(12) Regardless of the field, the doctoral admission competition consists of at least two tests:

- a) a specialized written test, based on a topic announced by the doctoral supervisor at least two months before the date of the admission competition;
- b) an interview in which the candidate's scientific/artistic concerns, his research/artistic creation skills and the proposed theme for the doctoral thesis are analyzed.

(13) Depending on the specificity of the field, other tests (even eliminatory ones) may be provided for in the doctoral admission competition, at the request of the full doctoral supervisors and with the agreement of the doctoral school council.

- (14) The tests are held in front of an admission committee made up of the doctoral supervisor, who offered the place of doctoral student for admission and at least 2 other specialists from Babeş-Bolyai University, who have at least the position of associate professor or scientific researcher of the second degree. The president of the admission committee is the doctoral supervisor.
- (15) The minimum average that a candidate must obtain to be declared admitted is 7.00. The average is calculated with two decimal places without rounding.
- (16) The admission commissions are proposed by the councils of the doctoral schools and are approved by the director of the CSUD.
- (17) After the evaluation of the tests (made on the basis of the established evaluation criteria), the admission committee nominates, based on the established selection criteria, the candidate who is to occupy the place of doctoral student-student put out to competition. This candidate will be able to be enrolled in that position only after obtaining the favorable opinion of the board of that doctoral school in which the doctoral supervisor is a tenured professor and if the respective doctoral school is provisionally authorized or accredited.
- (18) ISD specifies and displays the documents necessary for registration for the doctoral admission competition, both at the ISD headquarters, on the institute's website and on the websites of doctoral schools. The information regarding the organization of the admission contest for doctoral studies is posted at the institution's headquarters and is published on the official website of the IOSUD at least 30 days before its organization
- (19) Doctoral schools ensure the transparency of admission competitions and guarantee candidates' access to information on doctoral selection and admission procedures.
- (20) Doctoral schools that establish specific criteria for admission to doctoral studies are obliged to display them on their website and submit them to ISD at least 2 months before the registration period for doctoral admission. Its own admission regulations may provide for the possibility of holding the admission competition online.
- (21) It is prohibited, under the sanction of the law, that any form of direct or indirect discrimination between candidates for doctoral studies is promoted through the criteria for the evaluation of candidates and those for the selection of candidates.
- (22) After completing the admission procedure, the doctoral school displays the hierarchy of admitted/rejected candidates, mentioning the beneficiaries of doctoral grants, respectively the form of education.
- (23) The doctoral school manages any appeals registered, in accordance with the provisions of IOSUD-UBB's own regulations, and displays the results of this procedure.
- (24) The directors of the doctoral schools are responsible for the proper conduct of the admission competition, in compliance with the norms of quality, university ethics and the legislation in force.
- (25) All candidates declared admitted following the organization of an admission competition are included in the CSUD decision on admission to studies, drawn up on the types of funding, related to the respective session of admission competitions organized in the doctoral schools of IOSUD.
- (26) After enrollment, all doctoral students are registered in the Single Enrollment Register (RMU).
- (27) The quality of doctoral student is acquired after enrollment and is conditioned by:
- a) passing the admission competition,
 - b) completing the steps of confirming the place obtained through admission,
 - c) completing and signing the doctoral study contract,
 - d) completion and signing of the doctoral study plan,
 - e) other annexes provided by the Regulation for situations of return from interruption, modification of the status of doctoral student or other exceptional situations.

Art. 12 Doctoral Studies Contract

- (1) The object of the study contract is to carry out the activities specific to the doctoral study program, regulating the relations between IOSUD-UBB, the doctoral supervisor and the doctoral student, specifying the rights and obligations of the signatory parties, in accordance with the legislation in force, the provisions of the university charter and the decisions of the university senate.
- (2) The model of the framework contract for doctoral studies is developed by the CSUD, according to the IOSUD-UBB own regulation, and is made available to all doctoral schools.
- (3) The doctoral study contract is concluded with each doctoral student and is signed by the doctoral student, the doctoral supervisor, the director of the doctoral school and the legal representative of IOSUD-UBB.
- (4) The study contracts can be modified by addenda signed by all the signatory parties of the contract.
- (5) The study fee will be maintained throughout the normal duration of the study cycle, being able to be indexed with the maximum inflation rate, for the same class of students.
- (6) The individual doctoral study plan is an annex to the doctoral study contract representing a document that describes the doctoral student's doctoral path. It contains information on the form of education, the form of funding, the title and/or theme of the doctoral thesis, the training program based on advanced university studies, the scientific/artistic research program as well as other relevant information regarding the doctoral internship.

7. Conducting doctoral studies

Art.13

- (1) The doctorate is carried out on the basis of a doctoral study contract concluded, through the Institute for Doctoral Studies, between the doctoral student, his doctoral supervisor and Babeş-Bolyai University, which establishes the rights and obligations of the doctoral student, the doctoral supervisor and, through the doctoral school, Babeş-Bolyai University.
- (2) The individual plan of doctoral studies is an annex to the study contract and includes obligations regarding the doctoral path, assumed by signature by the supervisor and the doctoral student. The document is endorsed by the council of the doctoral school. These documents are supporting documents for the compilation of the status statements.
- (3) The doctoral supervisor is supported in the activity with the doctoral student by a guidance and academic integrity committee made up of 3 other teaching or research staff who have the title of doctor and at least the position of university lecturer or scientific researcher of the third degree (or equivalent). The members of the guidance and academic integrity committee may be part of the research team of the doctoral supervisor, may be other persons affiliated to the doctoral school or teaching and research staff not affiliated to it. For doctoral students admitted starting with the academic year 2024-2025, at least one member of this committee is from outside the higher education institution.
- (4) The composition of the guidance and integrity commission is established by the doctoral supervisor following consultation with the doctoral student, after the completion of the admission, but before the beginning of the academic year. Subsequent changes to the commission can be made only at the beginning of the academic year (except in cases of death, sick leave/maternity, termination of the employment contract) based on an application approved by the doctoral school and approved by the director of CSUD.
- (5) The doctoral studies of a doctoral student are planned in the individual plan of university studies, for a period of 4 academic years (each consisting of 2 academic semesters) and includes:

- a) a training program based on advanced university studies, completed within the doctoral school and consisting of activities carried out in institutionalized study formations;
- b) an individual program of scientific research/artistic creation.

(6) The training program based on advanced university studies ensures the preparation of the doctoral student through didactic-scientific activities (courses, seminars, laboratories and the like) and is carried out in one of the two academic semesters of the first year of the doctorate. It lasts for 12-14 weeks and cannot be extended.

(7) The individual scientific research/artistic creation program is organized in the field of research/artistic field in which the doctoral supervisor has dedicated. It is planned for the entire period of the doctoral studies. In special situations, when the subject matter requires a longer period, the duration of this program may be extended only once by 2 or 4 academic semesters, in accordance with the provisions of this Regulation.

(8) The doctoral studies may be interrupted, based on a request, in accordance with the provisions of art. 23 of this regulation.

(9) The interruption of studies can be requested at the earliest starting with the second semester of the first year of study and at the latest at the beginning of the second semester of the fourth year of study. No interruptions can be requested during periods of study extensions.

(10) The cumulative duration of the periods of interruption granted to a doctoral student is a maximum of 4 academic semesters.

(11) The interruptions are approved by the director of the council of doctoral studies of Babeş-Bolyai University, at the request of the doctoral student, with the approval of the doctoral supervisor and that of the council of the doctoral school.

(12) The duration of the doctoral degree program is usually 4 years. Under the conditions established by law and by this Regulation, in special situations, the duration of the doctoral study program may be extended or reduced, with the necessary approvals.

(13) The extension of the programme shall be granted only once and may be carried out under the conditions provided for in Article 22 of this Regulation.

(14) In the fields of study regulated at European level, the duration of doctoral studies complies with the regulations applicable to them.

(15) During the extension period referred to in para. (13) The doctoral student may not benefit from a doctoral scholarship awarded from a doctoral grant of the Ministry.

(16) The interruption and extension are established by addenda to the doctoral university study contract.

(17) The doctoral studies end with the public defense of an original work, called a doctoral thesis, which is the result of the research or artistic creation activity carried out by the doctoral student during the doctoral studies. It must comply with the requirements established by the regulations of the doctoral school. Based on the doctoral thesis and its public defense, it is decided whether or not to grant the doctoral degree.

(18) A doctoral student is considered to have completed his/her doctoral studies if he/she has publicly defended his/her doctoral thesis and, following the public advocacy, he/she has been awarded at least the rating "*Satisfactory*" by all members of the defense committee.

(19) Throughout the duration of the activity, the doctoral student benefits from the recognition of seniority in work and specialty; Until the age of 26, they benefit from free medical and dental assistance, without the payment of mandatory social contributions, according to art. 67 para. (2) of Law no. 199/2023 and art. 224 para. (1) letter a) of Law no. 95/2006;

(20) The period of doctoral studies is assimilated, according to the legislation in the field of pensions, for establishing the contribution period.

(21) PhD students may be employed by IOSUD-UBB as research assistants and may carry out activities according to art. 15 of this regulation.

(22) After defending the doctoral thesis, at the request of the doctoral student, IOSUD-UBB issues a certificate attesting the period in which he/she is a doctoral student. He attended his doctoral studies.

(23) The expulsion of a doctoral student is made by decision of the rector of UBB. Expulsion from doctoral studies is the act by which the doctoral supervisor, the doctoral school, the doctoral study institute or the ethics commission of the university, finding the non-fulfillment of the obligations of the doctoral studies contract or its annexes, the violation of the regulations of doctoral studies, violations of the conditions of ethics and academic integrity, decide to terminate and unilaterally terminate the doctoral studies contract, accordance with this Regulation.

8. Doctoral supervisors

Art.14

- (1) PhD supervisors are the persons who have obtained the right to supervise doctoral studies prior to the date of entry into force of the Law on Higher Education no. 199/2023, as subsequently amended and supplemented, as well as persons who have obtained the habilitation certificate and become members of a doctoral school within an IOSUD, based on an individual employment contract.
- (2) A doctoral supervisor can supervise doctoral students only in the field(s) **for which he or she has obtained this right**. By exception, upon establishment, a new field of doctoral studies may operate with doctoral supervisors from the same branch of science.
- (3) A teaching and research staff who has the right to supervise doctorates and who is a tenured professor at a higher education or research institution that is not IOSUD can be part of a doctoral school at IOSUD-UBB.
- (4) A doctoral supervisor can only simultaneously supervise doctoral students in a single IOSUD, except for doctorates supervised under co-supervision.
- (5) Starting with the academic year 2026-2027, a doctoral supervisor can simultaneously supervise a maximum of 8 doctoral students, regardless of how many fields he or she is qualified in, not including any doctoral students coordinated in co-supervision, with a secondary role. By exception, up to a maximum of 12 doctoral students/doctoral supervisors can be supervised only with the approval of the university senate but not for more than 20% of the doctoral supervisors in a doctoral school.
- (6) Until the date provided for in para. (5) The maximum number of doctoral students who can be supervised simultaneously by a doctoral supervisor may not exceed 12 doctoral students.
- (7) For the activity they carry out in this capacity, doctoral supervisors are remunerated in accordance with the legislation in force.
- (8) At the motivated request of the doctoral student, the council of the doctoral school may decide to change the doctoral supervisor, if the non-fulfillment of the legal or contractual obligations assumed by the latter has been ascertained, for other reasons regarding the guidance relationship between the doctoral supervisor and the doctoral student, as well as if the unavailability of the doctoral supervisor is found. In these cases, within 30 days, the board of the doctoral school appoints another doctoral supervisor, within the same field.
- (9) If the termination of the coordination relations of a doctoral student occurs due to the exclusive fault of the doctoral supervisor, the doctoral school proposes to the CSUD to take measures to sanction him/her, according to the provisions of the IOSUDUBB own regulation.
- (10) When appointing a new doctoral supervisor, the doctoral school council will take into account as a priority the need for the doctoral student to be able to complete the doctoral program in the field of university studies specified in the study contract, according to enrollment. If it is not possible to identify an available doctoral supervisor

within IOSUD-UBB, IOSUD will take steps to facilitate the academic mobility of the doctoral student, within the same field, but at another IOSUD.

- (11) The doctoral supervisor is supported in the work with the doctoral student by a guidance and academic integrity committee. The method of setting up this commission is established by the IOSUD-UBB's own regulations.
- (12) The members of the guidance and academic integrity committee may be part of the research team of the doctoral supervisor, may be other persons affiliated to the doctoral school or teaching and research staff not affiliated to it. At least one member of this commission is from outside the higher education institution.
- (13) The members of the guidance and academic integrity committee cannot be nominated as members of the public defense committee of the doctoral thesis (doctoral committee).
- (14) The rights and obligations of the doctoral supervisor derive from Law no. 199/2023, as subsequently amended and supplemented, from the IOSUD-UBB's own regulations, from the regulations of the doctoral school, as well as from its employment contract.
- (15) The rights of the doctoral supervisor include:
 - a) the right to guide and evaluate the activity of the doctoral student within the doctoral study program, according to the professional and university autonomy, following the requirements of the doctoral study program and respecting the professional interests of the doctoral student;
 - b) the right to propose the Academic Guidance and Integrity Committee, respectively the Doctoral Committee;
 - c) the right to an impartial internal and external evaluation, in accordance with the specific methodology of the evaluation process;
 - d) the right to know the methodology against which it is evaluated, both in the internal and in the external evaluation;
 - e) the right to know the results of the internal and external evaluation of one's own activity;
 - f) the right to refuse the guidance of a doctoral student in the conditions in which he is put unwillingly in a conflict of interest;
 - g) the right to request the Doctoral School Council to terminate the tutoring relationship with a doctoral student;
 - h) the right to request the doctoral school to organize an admission competition for each vacant doctoral student position under his/her supervision;
 - i) the right to decide the elements of study within the training program based on advanced university studies in which the doctoral student must participate, in compliance with the provisions of this Regulation;
 - j) the right to participate in competitions for doctoral grants;
- (16) The doctoral supervisor has the following obligations:
 - a) to ensure the scientific, professional and deontological guidance of each doctoral student;
 - b) propose research topics;
 - c) to ensure the conditions and stimulate the progress of doctoral students in the research they carry out;
 - d) to carry out the objective and rigorous monitoring and evaluation of each doctoral student;
 - e) to support the mobility of doctoral students;
 - f) to avoid the emergence of conflicts of interest in the guidance of doctoral students;
 - g) to take all due diligence in verifying the conformity of doctoral theses in relation to the specific requirements of an original creation.

9. PhD students

Art. 15.

- (1) The person admitted to a doctoral study program has the status of doctoral student for the entire period of his/her presence within the respective program, from enrollment to the completion of the study program or until expulsion, except during periods of interruption of studies, but including any extensions granted according to the provisions of the study contract, based on IOSUD-UBB's own regulations.
- (2) The quality of doctoral student is maintained even during the period of internal and international mobility approved by the SD. In the case of definitive international academic mobility of doctoral students who come to study on their own exchange rate, the recognition of transferable credits is made by IOSUD-UBB, based on university autonomy.
- (3) The recognition of transferable credits, in the case of national and international academic mobility, can be carried out by IOSUD-UBB only for the person who proves the quality of doctoral student with study documents or schooling documents issued by the higher education institution he/she attended.
- (4) The conditions and the manner in which academic mobility is carried out are established by a methodology approved by order of the Minister of Education.
- (5) The recognition and equivalence, as well as the recognition of studies or periods of study carried out in the country or abroad shall be carried out according to the provisions of a framework methodology, approved by order of the Minister of Education, and of specific methodologies, which shall be approved by the university senate of each higher education institution, based on the European norms of the European system of accumulation and transfer of study credits, in compliance with it.
- (6) PhD students may be employed by IOSUD-UBB as research assistants or university assistants, as the case may be, for a fixed period, following a competition. The conditions of participation in the competition are established by each doctoral school.
- (7) PhD students with a scholarship will carry out teaching activity of 2 to 6 hours/week, in compliance with the area of competence of each one, during at least 3 semesters (seminars or laboratories) or other documentable activities (through a monthly activity report) for the benefit of the department and/or the doctoral school to which they belong.
- (8) The doctoral student employed as a research assistant can also carry out teaching activities on an hourly basis, in accordance with the legislation in force.
- (9) During the doctoral study program, the doctoral student has the following rights:
 - a) to benefit from the support, guidance and coordination of the doctoral supervisor and the advisory and academic integrity committee;
 - b) to participate in seminars or working meetings of the research and development staff within IOSUD-UBB, when topics relevant to doctoral studies are discussed;
 - c) to be represented in the decision-making forums of the doctoral school, according to the provisions of this Regulation;
 - d) to access and use the research infrastructure of IOSUD-UBB, including logistics, documentation centers, libraries (including international databases) and equipment of the doctoral school and IOSUD-UBB for the elaboration of research projects and doctoral thesis;
 - e) to enroll in courses and seminars organized by other doctoral schools;
 - f) to work together with teams of researchers from IOSUD-UBB or from research and development units that have concluded institutional agreements or partnerships with IOSUD-UBB, encouraging and supporting interdisciplinary initiatives;
 - g) to be integrated into the research project teams within IOSUD-UBB, including by participating in the process of drafting and submitting funding applications;
 - h) to benefit from national or international mobility;
 - i) to benefit from institutional support to participate in scientific conferences or congresses, workshops, summer or winter schools and national and international seminars in the field in which he/she chose his/her doctoral thesis;

- j) to participate in the scientific communication sessions organized by the doctoral school and/or by IOSUD-UBB;
 - k) to be informed about the curriculum of doctoral studies within the doctoral school;
 - l) to benefit from the support services for students, existing at the level of higher education institutions, including those for accommodation and canteen.
 - m) to request the board of the doctoral school, on the basis of well-founded reasons, to change its doctoral supervisor;
 - n) to be represented in the decision-making bodies of the doctoral school, in the CSUD and in the Senate of Babeş-Bolyai University, according to the provisions of the regulations of the doctoral school, of this Regulation and of the Charter of Babeş-Bolyai University;
 - o) to carry out internships with public/private economic agents in the country or abroad;
 - p) to get involved in any other professional activities, at the request of the doctoral supervisor or the doctoral school;
 - q) to carry out teaching activities, according to the regulations in force.
- (10) The doctoral student has the following obligations:
- a) to respect the schedule established with the doctoral supervisor and to fulfill the obligations of defending the papers and presenting the research results;
 - b) to accumulate 30 credits related to the compulsory subjects, at the end of the advanced studies program and 60 credits at the end of each year out of the 4 provided for in the doctoral course;
 - c) to present, at his request, at least once every 12 months, a presentation of the progress of his scientific research program before the Academic Guidance and Integrity Committee and the doctoral supervisor. The planning of these supports in the plan of individual studies replaces the obligation to submit annual applications for the presentation of the project and individual reports.
 - d) to be in permanent contact with the doctoral supervisor and with the Academic Guidance and Integrity Committee;
 - e) to respect institutional discipline, without restricting their academic freedom;
 - f) to comply with the university ethics and deontology policies and to carry out their studies and research in compliance with the norms of academic integrity;
 - g) to submit activity reports to the doctoral supervisor and to the steering committee whenever requested;
 - h) to comply with the regulations and rules of the Babeş-Bolyai University, to have a behavior appropriate to the quality of doctoral student.
- (11) The conflicts between the doctoral student and the doctoral supervisor are mediated by the council of the doctoral school, and in case of non-resolution of the conflict at this level, it is mediated by the CSUD.
- (12) The conflicts between the doctoral student and the doctoral school are mediated by the CSUD.
- (13) The doctoral student is responsible for the originality of the doctoral thesis.

10. Doctoral course

Art.16

- (1) The doctoral studies have a duration of 4 years and involve obtaining a minimum of 240 credits of transferable studies ECTS/SECT. Of the 4 years, one, usually the first year of studies, is the year of advanced doctoral training, totaling at least 60 credits of transferable studies ECTS/SECT. Each of the 4 years of studies will accumulate (at least) 60 credits for the mandatory activities of the individual plan of doctoral studies.
- (2) The doctoral study program is carried out under the coordination of a doctoral supervisor and includes:

- a) a training program based on advanced university studies, within the doctoral school for which a minimum of 30 credits will be accumulated;
- b) an individual scientific research or applied research programme, as the case may be, for the fields in which a professional doctorate is organised. In the research program, a research project will be held in the first year, credited with 30 credits and a research report, once every 12 months, in the following years, each credited with 60 credits.

Training program based on advanced university studies

Art.17

- (1) The details of the training program based on advanced university studies and those of the individual scientific research/artistic creation program are recorded in an individual plan of doctoral studies, drawn up by the doctoral supervisor and the doctoral student.
- (2) The individual plan is approved by the council of that doctoral school in which the doctoral supervisor is a tenured professor.
- (3) The approved individual doctoral study plan is submitted to the secretariat of the Institute of Doctoral Studies within 30 days from the date set by the Senate of Babeş-Bolyai University as the date of enrollment of the doctoral students admitted following the admission competition.
- (4) The individual doctoral study plan is an integral part of the doctoral study contract.
- (5) Doctoral schools provide doctoral students with a training program based on advanced university studies consisting of activities carried out in institutionalized study formations, through courses, seminars, laboratories and other complementary activities.
- (6) Within the training program based on advanced university studies, the doctoral student participates in the first year of the doctoral studies in the activities of at least 3 disciplines of doctoral studies. These subjects are chosen in such a way that they are all offered in the same semester of the academic year, which lasts 12-14 weeks and cannot be extended.
- (7) The disciplines to be followed by a doctoral student within the training program based on advanced university studies can be chosen from the disciplines offered by the doctoral school to which the doctoral student belongs or by another doctoral school of Babeş-Bolyai University.
- (8) The curriculum developed annually at the level of each doctoral school will include disciplines relevant to the fields of doctoral studies for which the doctoral school has CNATDCU accreditation. For each subject included in the curriculum, a number of credits will be awarded in accordance with the national legislation on the granting of ECTS credits.
- (9) The training program based on advanced university studies includes a discipline of ethics and academic integrity, including the ethics of scientific research, **mandatory** to be completed by all enrolled doctoral students.
- (10) **The other disciplines that must be chosen in the training program based on advanced university studies will be selected from the curriculum, according to the doctoral student's options and with the recommendation of the doctoral supervisor, taking into account the doctoral field and the topic of the thesis.**
- (11) The completion of the compulsory subjects chosen in the training program based on advanced university studies ends with a form of evaluation established at the level of each doctoral school and leads **to obtaining a number of 30 ECTS.**
- (12) The doctoral student can choose in the training program based on advanced university studies, optional, and other disciplines. If he/she has followed a form of evaluation in the subjects chosen optionally and has obtained credits, these cannot

replace the credits related to the compulsory subjects and cannot be counted in place of the activities of the individual scientific research/artistic creation program.

- (13) For each discipline attended at Babeş-Bolyai University within the training program based on advanced university studies, the doctoral student receives a certificate containing information on the grade and the number of credits obtained, issued and signed by the course holder(s) and countersigned by the doctoral supervisor/principal doctoral supervisor.
- (14) The change of some subjects in the training program based on advanced university studies can be done only once for each discipline and only at the request of the doctoral student. The application must be endorsed by the doctoral supervisor. It is approved by the director of the doctoral school.
- (15) The doctoral student may not request the change of a discipline after the start of its activities.
- (16) If the doctoral student has not accumulated the minimum 30 compulsory credits for the compulsory subjects in the study program, the doctoral supervisor prepares the expulsion proposal and requests the opinion of the doctoral school council for expulsion. This application, signed by the supervisor and endorsed by the council of the doctoral school, will be submitted to the opinion (director) of the CSUD within 10 days from the end of the year of advanced training.

Art. 18

- (1) The number of transferable study credits is the reference element that higher education institutions use in the recognition and equivalence, respectively the recognition of studies or periods of university studies previously carried out, for the purpose of continuing studies in a study program and transferring transferable study credits.
- (2) The doctoral school may recognize, under the conditions of the law, the completion of previous doctoral internships and/or scientific research internships, carried out in the country or abroad, under the conditions specified in art. 24 of this Regulation or according to other subsequent regulations.
- (3) The studies carried out by a doctoral student in accordance with the specifications in para. 2 may be equated by the Council of the Doctoral School, at the proposal of the doctoral supervisor, with the training program based on advanced university studies of the respective doctoral student, taking into account, on the one hand, the field of that research master's degree program and the curriculum covered within the program, and, on the other hand, the subject of the doctoral thesis and the knowledge necessary for its elaboration. The equivalence can be achieved according to the provisions of art. 24 of this Regulation.

Individual Scientific Research/Artistic Creation Program

Art. 19

- (1) The main objective of the individual scientific research/artistic creation program is for the doctoral student to develop the doctoral thesis. The responsibility for the structure, content, conduct and organization of this program lies with the doctoral supervisor.
- (2) The recognition of some elements or of the entire individual plan of scientific research/artistic creation may be made under the conditions described in Article 24 para. 5. of this Regulation.

Art.20

- (1) The doctoral student together with the doctoral supervisor decide to present, at least once every 12 months, the progress made regarding the doctoral thesis in front of the Academic Integrity and Guidance Committee through an oral presentation.
- (2) In order to ensure a coherent scientific/artistic creation path of the doctoral student, the following activities are planned, within the individual scientific research/creation program:

- a) in the first year of the doctoral studies, the doctoral student presents the scientific research/artistic creation project in order to carry out the doctoral thesis. Granting the "*admitted*" grade for this activity leads to the accumulation of 30 ECTS;
 - b) In the second, third and fourth years of the doctoral studies, the doctoral student presents a research/artistic creation report on his/her progress in scientific research/artistic creation and the results of this activity. For each of the research/artistic creation reports marked with the qualification "*admitted*", 60 are awarded ECTS;
 - c) if the defense of the doctoral thesis takes place earlier than the fourth year of studies, the presentation of the three research reports remains mandatory. Their defense will be brought forward accordingly, until the start of the procedures for the pre-defense of the doctoral thesis.
- (3) The doctoral supervisor cannot be absent from any presentation, and at least 2 members of the guidance and academic integrity committee must be present at each presentation.
 - (4) Doctoral schools may provide in their own regulations the possibility of presenting the project or research reports in a videoconference system. In this case, SD will develop the methodology for conducting these presentations which will include all the information regarding the organization and the participants in the videoconference system.
 - (5) Regardless of the mode of development, after each presentation, a report is drawn up in which the qualification "*admitted*" or "*rejected*" and the main observations and recommendations made by the doctoral supervisor and the members of the advisory and academic integrity committee are recorded, including the date set for the second presentation of the project "*rejected*". The minutes will be signed handwritten by the doctoral supervisor and by all the members of the guidance and integrity committee present.
 - (6) If the oral presentation is rejected, the doctoral student has the obligation to redo and re-defend the research project or research report within the same academic year.
 - (7) If, upon re-defending the project or research report, the doctoral student obtains again the qualification "*rejected*" or the project/report is not re-defended within the stipulated period, the doctoral supervisor prepares the expulsion proposal and requests the opinion of the doctoral school council for expulsion. This application signed by the supervisor and endorsed by the council of the doctoral school will be submitted to the opinion (director) of the CSUD within 10 days from the defense of the second project/report marked with "*rejected*" or, if the re-defense does not take place, at the latest at the beginning of the following academic year.
 - (8) The minutes signed by the doctoral supervisor and by the members of the steering committee who were present are submitted to the secretariat of the doctoral school. (9) The completion of the training programme based on advanced university studies and the individual programme of scientific research or artistic creation within the doctoral degree cycle shall be attested by the issuance of a certificate.

Provisions regarding the doctoral course

Art. 21

The duration of the doctoral degree program is 4 years. In special situations, the duration of the doctoral study program may be extended by 1-2 years, with the approval of the university senate, at the proposal of the doctoral supervisor and within the limits of the available funds, or reduced by one year, at the request of the doctoral student, with the approval of the doctoral supervisor and with the approval of the university senate.

Extension of doctoral studies

Art. 22

(1) In special situations, in which a budgeted doctoral student (with or without a scholarship) has not completed his individual scientific research program on time or has

not defended his doctoral thesis, his doctoral supervisor may request, with the approval of the doctoral school council, an extension of the doctoral studies by 2 or 4 academic semesters.

(2) The extension period is granted with the obligation to pay the study fees for the entire extension period, except in situations where:

- a) The doctoral student has completed his/her individual scientific research/applied research program and has successfully defended his/her doctoral thesis in front of the Guidance and Integrity Commission or
- b) the doctoral student is included in national or international projects of strategic interest for the university, defined as such by the Scientific Council of UBB and endorsed by the Board of Directors of UBB.

For doctoral students enrolled on fee-paying places, the extension is granted on a fee-for-fee basis, except for the situations specified in points a) and b) above.

(3) The extension can be granted only once. The extension request is submitted by the doctoral supervisor to the secretariat of the Institute for Doctoral Studies and will then be submitted by the CSUD director to the approval of the Senate of Babeş-Bolyai University. Registration will be done at least 15 calendar days before the start of the academic year. The extension request is submitted by the director of the CSUD to the approval of the Senate of Babeş Bolyai University. In case of failure to submit this application within the deadline, the doctoral student will be expelled, with the right to enroll under the conditions provided by the legislation. These provisions apply to all categories of doctoral students (enrolled in the budget/fee-based form of financing), from all forms of education. The following provisions also apply:

- a) During this extension period, the doctoral student does not benefit from a scholarship and/or doctoral grant granted by the relevant ministry and can no longer request the interruption of studies.
- b) During the extension of the fee-paying university study program, the doctoral student will benefit from guidance from the doctoral coordinator and the guidance/integrity committee. Their activity will be remunerated in accordance with the legal provisions applicable to the doctoral internship.
- c) If, at the end of the extension period, the doctoral student has not completed the individual doctoral study program and has not defended the doctoral thesis, he/she will be expelled by decision of the Rector of the university at the initiative of the Institute of Doctoral Studies.

(4) The application for the extension of the doctoral program will be submitted to the secretariat of the Institute of Doctoral Studies at least 15 days before the start of the academic year.

(5) The extension is established by an addendum to the doctoral university study contract.

(6) The fee for the defense of the doctoral thesis will be paid, according to the Fees Regulation in force, only by the students who have entered the extension from a place with a fee.

Interruption of doctoral studies

Art. 23

(1) Doctoral studies may be interrupted, on the basis of an application, in the following situations: for medical reasons, in order to take leave for pregnancy and childbirth or leave for raising and caring for the child, respectively for other good reasons. The documentation of the reasons will be done by submitting medical documents, other supporting documents as annexes to the request for interruption. The study period is extended with the cumulative periods of approved breaks.

- (2) Any interruption of the PhD must begin on the first day of an academic semester and end on the last day of an academic semester, thus ensuring that the respective period of interruption comprises a whole number of academic semesters.
- (3) The cumulative duration of the interruption periods granted to a doctoral student is a maximum of 4 academic semesters.
- (4) The interruptions are approved by the CSUD director, at the request of the doctoral student, with the approval of the doctoral supervisor and that of the doctoral school council. For each interruption, an addendum to the doctoral study contract is concluded.
- (5) The request for the interruption of the doctoral program shall be submitted to the secretariat of the Institute of Doctoral Studies at least 15 days before the beginning of the semester/academic year.
- (6) The interruption is established by an addendum to the doctoral study contract.
- (7) Upon returning from the interruption period, a request for resumption will be submitted by the doctoral student with the agreement of the doctoral supervisor and the doctoral school.
- (8) From the moment of resumption of studies after an interruption, the fee-paying doctoral student will pay the study fees under the conditions provided in art. 31 of this regulation.

Equivalence

Art. 24

- (1) The doctoral school may recognize, according to the IOSUD-UBB regulation and under the conditions of the law, the completion of previous doctoral internships and/or scientific research internships, carried out in the country or abroad, in higher education institutions or in recognized research centers, as well as the completion of courses within the research master's degree programs.
- (2) The equivalence of a previous doctoral internship is possible only if the following conditions are met, cumulatively:
 - a) the previous traineeship is in the same field as the current one;
 - b) the research topic is kept from the previous internship;
 - c) The subjects in the current curriculum coincide with those covered or at least the topics covered are similar.
- (3) Starting with the academic year 2024-2025, the equivalence of the subjects from a previous individual study plan can be carried out:
 - a) directly, based on a request for equivalence, if ECTS has been accumulated for the subjects of the previous plan. This is certified by the ISD's issuance of a school statement regarding the previous doctoral path.
 - b) if the doctoral school has a recognition mechanism in the regulation and issues a certificate endorsed by the Council of the doctoral school. It will be submitted to ISD together with the application for recognition signed by the doctoral supervisor. The documents will be submitted to ISD together at the latest in the first week of the academic year in which the doctoral student was admitted to the new doctoral study program.
- (4) In case of recognition of the disciplines at the individual level, the doctoral supervisor asks the doctoral school for equivalence based on the previous curriculum and the current one. The Council of the doctoral school will analyze the application, in consultation with the subject holder and the equivalence procedures will be followed. Following the council meeting on equivalence, a report will be drawn up showing the completion of this process and the recognition of the plan.
- (5) The recognition of some elements or of the entire individual plan of scientific research/artistic creation can be done only if the theme of the thesis has remained unchanged, given that credits have been obtained for previous activities or if the doctoral

school has a recognition mechanism in the regulations and issues a certificate endorsed by the council of the doctoral school. It will be submitted to ISD together with the application for recognition signed by the doctoral supervisor.

Mobility of the doctoral student during doctoral studies

Art. 25

- (1) Students' academic mobility can be internal or international and, where appropriate, permanent or temporary.
- (2) Academic mobility can be carried out as a result of the doctoral student's approach, with the fulfillment of the following conditions:
 - a) the existence of interinstitutional agreements;
 - b) the acceptance of the accredited/provisionally authorized higher education institutions, as the case may be, of origin and respectively of reception. The inter-institutional agreement consists of completing and signing the standard mobility application, provided for in OM no. 4.262 of April 15, 2024.
- (3) The definitive academic mobility can be carried out within the same doctoral field, between accredited doctoral schools, after completing the training program based on advanced university studies, in compliance with the provisions of the legislative provisions in the field.
- (4) The definitive academic mobility of doctoral students can also be carried out within the same doctoral school for thoroughly justified situations regarding doctoral supervisors (retirement, death, etc.).
- (5) The definitive mobilities that the doctoral student can carry out are, as follows:
 - a) from a doctoral supervisor to another doctoral supervisor, for well-justified situations regarding doctoral supervisors (retirement, death, etc.) or with the consent of all those involved in other well-justified cases;
 - b) from a doctoral school to another doctoral school within IOSUD with the preservation of the field and the research theme;
 - c) from one IOSUD to another IOSUD.
- (6) The mobility of the doctoral student can only take place with a doctoral supervisor in the field in which the doctoral student was enrolled.
- (7) Mobility is carried out while keeping the research topic initially chosen. In exceptional situations, if it is decided in agreement with the new doctoral supervisor that the research topic should change, it is motivated to change it.
- (8) Mobility can take place only at the beginning of the academic year, except in exceptional cases (death of the manager, illness, other good reasons).

Art. 26

The mobilities within IOSUD are carried out on the basis of a request justifying the mobility approved by the two doctoral supervisors and by the councils of the two doctoral schools endorsed by the director of the CSUD.

Permanent mobility between IOSUD-UBB and another IOSUD or universities abroad

Art. 27.

- (1) The definitive academic mobility with universities abroad applies to:
 - a) Member States of the European Union, citizens of States belonging to the European Economic Area and the Swiss Confederation, as well as British citizens and their family members, as beneficiaries of the Agreement on the withdrawal of the United Kingdom of Great Britain and Northern Ireland from the European Union and the European Atomic Energy Community 2019/C 384 I/01.

- b) third countries to which the provisions of permanent academic mobility, bilateral agreements and international agreements in the field, in force on the date of the mobility, apply.
- (2) For the definitive mobility between IOSUD-UBB and another IOSUD or university abroad, the doctoral student, prior to starting the transfer procedures, must receive the approval of the receiving IOSUD.
- (3) The doctoral student will submit, at the university where the mobility is carried out, the following documents, in original, for the realization of the mobility:
 - a) the mobility application (approved by the two IOSUDs represented by the doctoral supervisors, the councils of the two doctoral schools and the directors of the CSUD/rectors);
 - b) the study contract and the annexes from IOSUD-UBB;
 - c) documents attesting to the doctoral course (certificates of participation in disciplines, project minutes and research reports); d) school situation;
 - e) document proving the payment of the permanent mobility fee (transfer).
- (4) The doctoral student can carry out a permanent mobility from IOSUD-UBB to another IOSUD only during the active doctoral period (during the doctoral internship) except in exceptional cases (retirement, death, etc.).

Change of doctoral supervisor

Art.28.

- (1) The Council of the doctoral school may decide to change the doctoral supervisor of a doctoral student, from that doctoral school, by replacing him with another doctoral supervisor from the same doctoral field, in the following situations:
 - a) if the doctoral supervisor has reached the age of 65 and does not wish to continue his activity as a doctoral supervisor;
 - b) at the request of the director of the doctoral school, if he has ascertained the unavailability of the doctoral supervisor for a period longer than one year;
 - c) at the reasoned request of the doctoral supervisor or doctoral student, if the legal or contractual obligations assumed by any of them or for any other reasons regarding the tutoring relationships are found; d) at the joint request of the doctoral student and his doctoral supervisor, in which, based on well-founded reasons, a new doctoral supervisor is proposed.
- (2) In situations a), b) and c) of para. (1), the new doctoral supervisor may be proposed either by the Council of the Doctoral School or by the doctoral student.
- (3) The change of the doctoral supervisor can be approved only with the written agreement regarding the takeover of the doctoral student and the research topic, given in advance by the new doctoral supervisor, at least 15 days before the start of the new academic year. Exceptions are cases of force majeure: death, resignation of the supervisor or his/her transfer to another doctoral school, in compliance with art. 24 . If the new doctoral supervisor works in another doctoral organizing institution, the approval of its management and the submission of the transfer request from the doctoral student will be made at least 15 days before the start of the new academic year.
- (4) After the change of doctoral supervisor of a doctoral student, the new doctoral supervisor establishes, within 15 days from the date of approval of the change of doctoral supervisor, a new supervisory committee for that doctoral student, which may also include members of the old supervisory committee, if they agree to continue the supervision of the doctoral student.

Withdrawal from doctoral studies

Art. 29

- (1) The doctoral student may withdraw from the doctoral studies by submitting an application to the director of the CSUD approved by the doctoral supervisor and endorsed

by the council of the doctoral school. Except in exceptional cases, for administrative reasons, it is recommended to withdraw from studies at the beginning of the academic year/semester. (2) The application will be processed only if it is accompanied by the liquidation form approved by all departments (the liquidation form can be initiated online by accessing the following link: [liquidation-https://doctorat.ubbcluj.ro/ro/fisa/](https://doctorat.ubbcluj.ro/ro/fisa/)).

Expulsion from doctoral studies

Art. 30

- (1) The expulsion of the doctoral student may take place in the following situations:
 - a) for non-fulfillment of the obligations arising from the doctoral study contract:
 - failure to pass one or more subjects provided for in the study plan in the semester corresponding to the doctoral school's curriculum; the promotion of the disciplines is proven by submitting the certificates of participation;
 - failure to support the research project/report within the term provided by law; lack of collaboration with the manager and/or the ethics and guidance committee for their completion;
 - non-payment of tuition fees.
 - b) if, upon re-defending the project or research report, the doctoral student obtains the qualification "*rejected*" or the research project/report is not re-defended within the legal term;
 - c) if the doctoral student fails to defend the doctoral thesis within the term provided by law;
 - d) if the doctoral student receives the qualification "*Unsatisfactory*" when defending the doctoral thesis and following the re-defense, he/she receives the entire qualification "*Unsatisfactory*" or if the doctoral student does not redefend the doctoral thesis within the legal term;
 - e) if the doctoral thesis has received a decision to invalidate/complete the file by the CNATDCU and is not resubmitted for validation within one year from the date of the first invalidation/request for completion or if the doctoral thesis is invalidated the second time;
 - f) in case of deviations from academic ethics and integrity.
- (2) The expulsion is made on the basis of the written request of the doctoral supervisor, approved by the board of the doctoral school in which the doctoral supervisor works as a tenured professor, if the doctoral supervisor has found that the doctoral student does not fulfill the obligations arising from the doctoral study contract.
- (3) If ISD finds that a doctoral student has an unfinished situation at the end of a semester or academic year or appears with debts at the end of the academic year, it will ask the doctoral school to clarify the situation. If the doctoral school does not bring the documents proving the solution of the problem, then ISD will draw up a report on the situation of the doctoral student, which it will submit to the CSUD analysis. The CSUD will consider whether expulsion is required and will issue an expulsion proposal.
- (4) The expulsion of a doctoral student is made by decision of the rector of UBB.

Fees

Art. 31

- (1) During his/her doctoral studies, except for any interruption periods and the possible grace period, the doctoral student enrolled in fee-paying studies has the obligation to pay, under the conditions established by the University Senate, the study fee for each academic semester, including for the semester in which the public defense of the doctoral thesis takes place, as well as the fee for defending the doctoral thesis.

(2) A doctoral student is considered to be during his doctoral studies in the period between the date of enrollment and the date of public defense of the doctoral thesis or that of expulsion, this period being only the one covered by the doctoral study contract and by the addenda to it. The tuition fee for one semester of an academic year, hereinafter referred to as *the semester fee*, represents 50% of the annual tuition fee established by the University Senate for that academic year.

(3) The tuition fee will be maintained throughout the normal duration of the study cycle, being able to be indexed with the maximum inflation rate, for the same class of students.

(4) The doctoral student has the obligation to comply with the deadlines for payment of the study fee established by the University Senate and bears penalties of 0.04% of the amount not paid on time, for each day of delay, according to the specifications of the *Regulation on the fees (fees) of admission, tuition and completion of studies*, approved for each academic year by a Decision of the Senate of Babeş-Bolyai University. The payment of the penalties is made on the date of payment of the respective principal debts.

(5) The doctoral student will not request the refund of some fees paid, in case of withdrawal from doctoral studies, expulsion or transfer to other institutions organizing doctoral studies.

(6) The doctoral student in the fee regime has the obligation to pay the study fee for a single semester, he can pay it either in a single installment (until October 15 in the case of the first semester, respectively, until March 15 in the case of the second semester), or in a maximum of 2 installments, in such a way that:

a) by October 15, at least 50% of the fee for the first semester has been paid and by December 5, the entire fee due for the first semester;

b) by March 15 at least 50% of the fee for the second semester and by May 15 the entire fee due for the second semester.

(7) If a fee-paying doctoral student has fulfilled his contractual obligations and starts the procedure for the public defense of the doctoral thesis before the end of the four years of doctoral studies, he will have to pay in full the fees for the period of tuition completed and (including) the fee related to the semester in which the public defense takes place.

(8) From the moment of resumption of studies after an interruption, the fee-paying doctoral student will pay, in each academic semester when he participates again in the doctoral studies, a semester study fee calculated on the basis of the annual study fee set by the University Senate for the academic year to which the respective semester belongs.

(9) Failure to pay tuition fees and/or penalties due for non-payment on time leads to the prohibition of the doctoral student's participation in the specific evaluation tests within the doctoral program and to the consequences related to non-participation.

(10) Failure to pay tuition fees and/or penalties due for non-payment on time represents a failure to comply with the obligations and conditions of this doctoral study contract.

11. Completion of the doctoral program

Elaboration and public defense of the doctoral thesis

Art. 32

(1) The conclusion of the doctoral studies, regardless of the type of doctoral study program completed, is achieved by publicly defending the doctoral thesis before the public defense committee of the doctoral thesis, hereinafter referred to as the doctoral committee.

(2) The doctoral thesis is an original work, and it is mandatory to mention the source for any material taken.

(3) The doctoral student is the author of the doctoral thesis and assumes the correctness of the data and information presented in the thesis, as well as the opinions and demonstrations expressed in the thesis. (4) The doctoral supervisors shall be responsible, together with the authors of the doctoral theses, for the observance of the standards of quality and professional ethics, including for ensuring the originality of the content.

(5) Doctoral theses (including annexes) and their abstracts are also written in digital format. In the field of arts, they can be accompanied by the digital recording of the original artistic creation.

(6) The content of the doctoral thesis is established by the doctoral student in consultation with the doctoral supervisor and will comply with the framework structure and the limitations imposed by the regulations of the doctoral school. The thesis writing guide is an integral part of the doctoral school's regulations, specifying elements such as: the formal structure of the thesis, the minimum number of pages, references to bibliographic references, citation rules, formal typesetting requirements, ethics standards, co-authorship conditions, etc.

(7) The title of the doctoral thesis may be modified with the opinion of the doctoral supervisor until its completion for public defense.

(8) The doctoral thesis is a public document, the name and surname of the holder of the doctoral thesis, of the doctoral supervisor and of the members of the advisory and academic integrity committee, as well as the identification data of IOSUD-UBB being also public information.

Support procedure Art .

33.

(1) In order to initiate the organization of the public defense of the doctoral thesis, the doctoral student shall go through the following stages:

a) the doctoral student delivers the doctoral thesis in electronic format at the secretariat of the doctoral school, together with the abstract of the doctoral thesis and the affidavit regarding the originality of the doctoral thesis;

b) the doctoral school carries out the similarity analysis using a program provided for in the ministerial order; the verification is carried out on the basis of the standards contained in the National Guide on the Writing of Doctoral Theses, developed by the CNATDCU; the results of the analysis will be made available to the doctoral student, the doctoral supervisor and the doctoral committee;

c) the analysis and interpretation of the result of the similarity report are carried out both by the Academic Guidance and Integrity Commission and by a member of the Doctoral School appointed by the Council of the Doctoral School; The similarity report must be verified by the guidance and academic integrity committee before the defense and by the designated person(s) before the official submission of the doctoral thesis.

d) in the event that the similarity ratio indicates the need to make changes at the level of the doctoral thesis, the doctoral student and the doctoral supervisor are officially notified by the doctoral school; After correcting the notified elements, the similarity analysis procedure is resumed.

e) the doctoral thesis is presented to the Academic Integrity and Guidance Committee;

f) after this defense, which is public, the doctoral supervisor, in accordance with the recommendation of the commission and the results of the analysis of the similarity report, decides on the official submission of the thesis and the organization of the public defense through a document hereinafter referred to as the Opinion;

g) In case of obtaining a favorable opinion from the Academic Guidance and Integrity Committee and from the doctoral supervisor, the following documents will be submitted, in physical format, to the Secretariat of the Doctoral School:

- 1.** the acceptance report of the doctoral supervisor,
- 2.** the opinion of the members of the Guidance and Academic Integrity Commission,
- 3.** the doctoral thesis and its annexes, in printed and electronic format,
- 4.** the result of the analysis of the similarity ratio,

5. the abstract of the doctoral thesis in electronic format,
 6. The doctoral student's CV in electronic format,
 7. a document with the estimated date for public support, 8. proof of payment of the support fee, if applicable.
- h) the secretary of the doctoral school will submit all the documents from the previous letter together with the similarity report to the ISD headquarters in order to be uploaded on the UEFISCDI platform;
- i) the doctoral thesis and its annexes will be available for public consultation for 90 calendar days, on the UEFISCDI platform, in accordance with the legal provisions in force in the field of copyright;
- j) During the period in which the thesis is public on the national platform, any natural or legal person can make observations on the existence of deviations from the standards of ethics and deontology, including from the perspective of the existence of plagiarism; observations can be submitted online, at an e-mail address provided by the doctoral school, or at the UBB registry for the related faculty; only observations submitted and assumed by a natural person can be taken into account or legal by communicating the identification data, specifying the professional quality and the observations in a clear and unequivocal manner: *In chapter ... Page... Paragraph ... The text ..., the image/figure/table, etc. deviate from the standards of ethics and deontology due to the fact that ...;*
- k) If there are no comments, the Doctoral School will fill in a document stating that there are no comments submitted during the public consultation period. The document will be signed by the doctoral supervisor and by the director of the doctoral school, and will be submitted to the ISD, together with the rest of the documents, to be part of the doctoral file;
- l) if there are observations, they are recorded in a report prepared by the doctoral school, which will be sent to the CSUD for analysis and decision in order to validate the initiation of the procedure for the public defense of the doctoral thesis; the report will be part of the doctoral file; where the decision of the UCSC is:
- validation - the procedure for public defense of the doctoral thesis is initiated by establishing the doctoral committee;
 - Invalidation - The Doctoral School receives recommendations regarding the revision of the doctoral thesis and the elimination of situations that do not comply with the standards of ethics and deontology. The doctoral student has the obligation to remedy the content of the thesis within 3 months from the communication of the decision to invalidate the defense, with the resumption of the entire procedure for organizing the public defense.

Art. 34

- (1) In order to publicly defend the doctoral thesis, a committee of specialists is constituted, called the doctoral committee. It is proposed by the doctoral supervisor, approved by the doctoral school council and validated by the CSUD director.
- (2) The doctoral committee is made up of at least 5 members as follows:
 - a) the president of the commission, as a representative of the Babeş-Bolyai University;
 - b) the doctoral supervisor(s);
 - c) at least three official reviewers from the country or abroad, specialists in the field in which the doctoral thesis was elaborated, selected in such a way that at least two carry out their activity outside the Babeş-Bolyai University. It is recommended that external members be part of the universities participating in the "Universitaria" Consortium, the Union of Cluj Universities, the EUTOPIA alliance, The Guild consortium, consortia legally constituted in fields/specializations to which the faculties within UBB belong or from prestigious universities abroad. The

- participation in the Commission of a member who does not come from such institutions must state the reasons in writing;
- d) The members of the guidance and academic integrity committee cannot be nominated as members of the public defense committee of the doctoral thesis.
- (3) All members of the doctoral committee must meet the following two conditions: a) to have the title of doctor;
- b) to have, or at least the position of associate professor or scientific researcher of the second degree, or the quality of doctoral supervisor, in the country or abroad.
- (4) In the case of the doctorate in co-supervision with a doctoral supervisor from outside Babeş-Bolyai University, the doctoral committee will include representatives of both institutions, and the co-supervision will expressly specify the way in which the commission will be composed (the number of members from Babeş-Bolyai University, from the partner university and the number of members from outside the two universities).
- (5) If, after the validation of the doctoral committee, the president of the committee or one of the official reviewers becomes unavailable, the director of the CSUD may approve, at the proposal of the doctoral supervisor and with the opinion of the director of the doctoral school, the change of that member of the doctoral committee.
- (6) The defense of the doctoral thesis can take place in the presence of at least 4 of the members of the doctoral committee, with the mandatory physical participation of the president of the commission and the doctoral supervisor, the other members of the committee being able to participate in an online videoconference system.
- (7) The request for setting the date for the public defense of the doctoral thesis is formulated by the doctoral student, endorsed by the doctoral supervisor, the director of the doctoral school and by the president of the defense committee. The application is registered at the secretariat of the doctoral school at least 25 calendar days before the proposed date for the defense. The proposed date for the defense cannot be earlier than the previously estimated date for the public defense.
- (8) Together with the request for fixing the date of defense, the doctoral student fills in a declaration regarding the options for publishing the thesis:
- a) If the doctoral student does not opt for the separate publication of the thesis or some chapters of it, the digital form of the thesis remains public and will be freely accessible on the national platform managed by UEFISCDI, including after the decision to award the doctoral degree. The thesis will be awarded a copyright protection license.
- b) If the doctoral student opts for the separate publication of the doctoral thesis or some chapters thereof, he/she receives a grace period of maximum 24 months for this publication, during which time the doctoral thesis, in digital format, becomes inaccessible to the public. In this case, the following obligations apply:
- Before the expiry of the grace period, the author of the thesis has the obligation to send to ISD the link to the publication, the ISBN and the publishing house, data that will then be made public on the national platform managed by UEFISCDI. If the author does not want the thesis to be made public on the platform managed by UEFISCDI, it will be necessary to send a reasoned refusal of publication to the ISD with the bibliographic information mentioned above.
 - If the author of the thesis does not submit this data, the thesis automatically becomes freely accessible on the national platform managed by UEFISCDI, with the attribution of a copyright protection license.
- (9) The doctoral thesis is also written in digital format. In the field of arts, the doctoral thesis can be accompanied by the digital recording of the original artistic creation.
- (10) At ISD, the thesis evaluation reports written by the reviewers will be submitted at least 25 days before the public defense of the thesis.

(11) IOSUD-UBB will display on its website the announcement for the public support at least 20 calendar days before the proposed date for the support. It will necessarily include the location, date and time of defense, the supervisor, as well as the place where the full text of the thesis can be consulted in printed format, the announcement of the public defense, the abstract of the thesis in electronic format, the scientific dissertation, the CV of the doctoral student, the CVs of the members of the public defense committee of the doctoral thesis.

(12) The public defense must include a question session from the members of the doctoral committee and the public that will be included in the minutes of the public defense.

(13) Based on the public defense of the doctoral thesis, the referees' reports, the statement on the originality of the work and the analysis on the degree of similarity, the doctoral committee evaluates and deliberates on the awarding of the doctoral degree, in compliance with the minimum standards developed by CNATDCU for the granting of the doctoral degree.

(14) In case of failure to meet the minimum standards necessary for the award of the doctoral degree, the doctoral committee will specify the elements to be redone or completed in the doctoral thesis and will request a new public defense of the thesis.

(15) The second public defense of the thesis takes place in front of the same doctoral committee as in the case of the first defense. If the minimum standards are not met even at the second public defense, the doctoral degree will not be awarded, and the doctoral student will be expelled.

(16) If the doctoral student has fulfilled all the requirements provided in the scientific research program, as well as the minimum standards related to the field, the doctoral committee proposes to award the doctoral degree, a proposal that is submitted together with the doctoral file, within a maximum of 30 calendar days, to the CNATDCU. (17) Within 90 calendar days from the receipt of the doctoral file, the CNATDCU shall issue an assent regarding the compliance with the administrative procedure carried out at the level of IOSUD and the observance of the minimum criteria for awarding the doctoral degree. The administrative procedure includes as a separate step the verification of the statement on the originality of the work and the analysis of the reports on the degree of similarity.

(18) If CNATDCU decides that the administrative procedure carried out at the level of IOSUD did not comply with the provisions of this regulation, the doctoral file will be sent back to IOSUD to be reanalyzed and completed. It can be resubmitted to the CNATDCU for a new analysis, only after the resumption of the entire procedure for organizing public support.

(19) Within 30 calendar days from the receipt of the assent, Babeş-Bolyai University issues the decision to award the doctoral degree, signed by the rector, prepares and issues the doctoral degree.

(20) After the issuance of the doctoral degree, the doctoral thesis, in digital and printed format, is archived at the library of the higher education institution, with a permanent term and within a maximum of 180 days, IOSUD will send to the National Library of Romania a printed copy and an electronic copy of the doctoral thesis and its annexes, in order to consult the thesis by any interested person.

12. Quality assurance of doctoral degree programs

Art. 35

(1) The external evaluation of IOSUD-UBB, respectively of the fields of doctoral studies in order to authorize provisional operation or accreditation is carried out in accordance with the provisions of Title IV - Quality Assurance in Higher Education of the Higher Education Law no. 199/2023, as subsequently amended and supplemented.

- (2) The doctoral study programs are periodically subjected, on dates established at IOSUD-UBB level, to internal evaluation processes.
- (3) The internal evaluation of the quality of a doctoral study program is done by the doctoral school.
- (4) The procedures for internal quality assessment of a doctoral degree program are developed by CSUD in collaboration with other UBB entities responsible for quality assurance. They will follow:
 - a) mission and objectives of the doctoral degree program;
 - b) the content and curriculum of the doctoral study program;
 - c) the compatibility of the doctoral degree program with the description of the qualification registered in the RNCIS;
 - d) the results of the research undertaken by the doctoral students and their doctoral supervisors, materialized through publications, patents, participation in scientific events;
 - e) presenting on the Internet the results of the research activity of doctoral students;
 - f) cooperative relations with other institutions;
 - g) specific requirements of the different teaching methods and forms of development;
 - h) the existence of the means of documentation, learning and research, as well as the logistics that the doctoral students benefit from;
 - i) the staff involved in the development of the doctoral degree program;
 - j) the ways of assessing the knowledge and testing of the skills of doctoral students, as well as the monitoring of progress during the training;
 - k) the degree of involvement of doctoral students in ensuring the quality of the doctoral study program;
 - l) the existence of a code of ethics with clear provisions on the definition and sanctioning of various academic and professional frauds, including plagiarism;
 - m) fulfillment of the specific requirements of the external evaluation indicators of doctoral degree programs.

- (4) The internal quality assessment procedures of a doctoral degree programme shall be based on several sources of information, including:
 - a) evaluation by doctoral students, obtained through anonymous questionnaires, subject to the confidentiality of the information provided and statistically processed;
 - b) confidential peer review of the research activity of the full doctoral supervisors. It will be carried out based on the information provided by both reviewers from Babeş-Bolyai University and reviewers from other institutions with research activities.

Art. 36

- (1) Each doctoral school is subject to an external evaluation every 5 years.
- (2) The external evaluation of doctoral study programs is done by ARACIS or by another quality assurance agency, in the country or abroad. It is carried out on the basis of a system of criteria and a methodology established by order of the Minister of Education.
- (3) The doctoral school that, after a period of 5 years since the last accreditation, has not gone through an external evaluation process and has not been reaccredited, loses its accreditation.
- (4) A doctoral school that has lost its accreditation can no longer enroll new doctoral students. The doctoral students from that doctoral school, who are in the course of the doctoral program, continue their studies according to the doctoral university study contract, until their completion.

Art. 37

- (1) The evaluation of the activity of doctoral students is predominantly oriented towards the results of the research activity. Results are taken into account – such as

publications, participation in conferences, patents and patents – as well as their quality, estimated by integrating publications into the international flow, citations, awards, etc.

(2) The evaluation of the activity of doctoral students explicitly follows all the competences that the doctoral student must develop within the doctoral study program, according to the level 8 qualification of the European Qualifications Framework and the National Qualifications Framework.

(3) The evaluation of the activity of doctoral students is carried out periodically, based on the decision of the doctoral school council, by evaluation committees approved by the doctoral school council.

(4) The evaluation procedures and criteria are established by the council of the doctoral school and must allow:

a) correctly measuring the performance of doctoral students, including the performance differences between them;

b) analysis of the progress of doctoral students in acquiring knowledge and skills associated with the targeted professional qualification;

c) administrative and academic verification to ensure the correctness of procedures;

d) transparency and information on the criteria for assessment of doctoral students;

e) informing doctoral students about the evaluation strategy, methods, evaluation criteria and expected performances.

(5) The observance of scientific, professional and university ethics is an explicit criterion for the continuous and final evaluation of the doctoral student's activity.

(6) The evaluation of the activity of the doctoral students will be done with the assurance of maximum transparency, bringing to the attention of the doctoral students, by the evaluators, the grades awarded.

Art. 38

(1) Doctoral schools periodically evaluate, at 5-year intervals, the activity of tenured doctoral supervisors, based on procedures developed by the CSUD based on self-evaluation and internal and external collegial evaluation.

(2) This internal evaluation of the full doctoral supervisors will take into account in particular the quality of the results obtained by them in research and in the management of doctoral students, such as:

a) the results of the scientific research of the doctoral supervisor (publications, patents and other ways of capitalizing on his own research activity);

b) the results of the scientific research of the doctoral students led by this doctoral supervisor (publications, patents and other ways of capitalizing on the research activity of the doctoral students);

c) other indicators of the scientific activity of the doctoral supervisor (participation in research projects, participation in national and international conferences, membership in professional organizations);

d) other indicators of the scientific activity of the doctoral students led by this doctoral supervisor (participation in research projects, participation in national and international conferences, membership in professional organizations).

(3) Once every 5 years, doctoral supervisors are subjected to an external evaluation based on established procedures, at the proposal of the CNATDCU, by order of the Minister of Education. The results of this assessment are public.

(4) Following internal or external evaluations, the doctoral school may decide to extend or withdraw the right of a doctoral supervisor to supervise doctorates within a doctoral study program of the doctoral school, according to the regulations of the doctoral school.

Art. 39

(1) The following positions in Babeş-Bolyai University are incompatible, within the meaning of art. 171 para. of Law no. 199/2023, and cannot be occupied simultaneously by spouses, relatives and relatives up to the third degree inclusive:

- a) the position of president of the Senate of Babeş-Bolyai University with that of director of the CSUD or director of a doctoral school;
- b) the position of rector with that of director of the CSUD or director of a doctoral school;
- c) the position of vice-rector with that of director of a doctoral school;
- d) the position of director of CSUD with that of director of a doctoral school;
- e) the position of member of the board of directors with that of director of a doctoral school.

(2) It is forbidden for a candidate for doctoral studies to be in a relationship of spouses, relatives and relatives up to the third degree, including with members of the admission committee before which he must take the tests of the doctoral admission competition.

(3) It is forbidden for a doctoral student to be in a relationship of spouses, relatives and relatives up to the third degree, including with his doctoral supervisor, with members of his advisory committee or of the doctoral committee appointed for the public defense of his doctoral thesis.

(4) Persons who are in a relationship of spouses, relatives and relatives up to and including the third degree cannot be appointed to the same doctoral admission committee, doctoral student guidance committee or doctoral committee.

13. Transitional and final provisions

Art. 40

The doctoral studies at Babeş-Bolyai University are reorganized according to the provisions of this Regulation. This reorganization shall be completed within a maximum of 12 months from the date of entry into force of the Framework Regulation on Doctoral Studies (OM 3020/2024).

Art. 41

(1) In the case of doctoral students whose doctoral theses have been subjected to preliminary analysis within the specialized department or the staff and have been scheduled for defense until September 27, 2024 (the last working day of the academic year 2023-2024), the defenses will be held according to the defense procedure provided for in the previous regulation.

(2) In the case of doctoral students whose doctoral theses have not been submitted to the preliminary analysis within the specialized department or the research team by September 6, 2024 or have not received the recommendation for public support, starting with the academic year 2024-2025 (September 30, 2024), the provisions of OM 3020/2024 and those of this Regulation apply, including the provisions regarding the appointment of the doctoral committee, taking into account the procedural stage of the doctoral student's thesis. (3) Doctoral students who started their doctoral studies before the entry into force of the Higher Education Law 199/2023, in a form of education other than full-time may continue their studies within that form of education until their completion, regardless of the type of doctorate (scientific or professional) in which they are enrolled.

(4) In the case of the doctoral student who started his doctoral studies before the entry into force of the Higher Education Law 199/2023 and is in a special situation when the topic addressed in the individual scientific research/artistic creation program is complex and, at the end of the doctoral internship, including the interruption periods, he or she has not been able to support the research report related to the last year of studies, then, at the

request of the doctoral student, with the approval of the doctoral supervisor, the director of the doctoral school and the director of the CSUD and with the approval of the University Senate, the doctoral student is granted a period of extension of 2 or 4 semesters, with the payment of the related study fees for the entire period of the extension and with the payment of the fee for the defense of the doctoral thesis. During this extension period, the doctoral student does not benefit from a scholarship and/or doctoral grant granted by the relevant ministry and can no longer request the interruption of studies. During the extension of the university study program, the doctoral student will benefit from guidance from the doctoral coordinator and the guidance/integrity committee, whose activity will be remunerated according to the legal provisions in the case of the doctoral internship.

(5) In the case of the doctoral student who started his doctoral studies before the entry into force of the Higher Education Law 199/2023 and is in the special situation where, at the end of the doctoral internship, including the interruption periods, he has completed all the activities related to the training program based on advanced university studies and those in the individual scientific research/artistic creation program but has not defended the doctoral thesis, then, at the request of the doctoral student, with the approval of the doctoral supervisor, the director of the doctoral school and the director of the CSUD and with the approval of the University Senate, the doctoral student is granted a period of extension of 2 or 4 semesters, for the writing and public defense of the doctoral thesis, without paying the related study fees, for the entire requested period and without paying the support fee, except for doctoral students enrolled in a place with a fee. During this period, the doctoral student does not benefit from a scholarship and doctoral grant granted by the relevant ministry and cannot request the interruption of studies. In this case, throughout the extension period, the doctoral supervisor and the members of the guidance/guidance and integrity committee are not paid.

(6) In the case of the doctoral student who started his doctoral studies before the entry into force of the Higher Education Law 199/2023 and who, at the end of the extension period, did not complete the individual doctoral study program and did not defend the doctoral thesis, he will be expelled by decision of the Rector of the university at the initiative of the Institute for Doctoral Studies.

(7) PhD students enrolled prior to the 2023-2024 academic year, who already have an application for the grace period/deferral previously approved OM 3020/2024, will continue to benefit from the grace periods/deferral valid on the date of publication of the Higher Education Law 199/2023, until the completion of the period for which they were ordered/approved.

(8) For doctoral students enrolled starting with the academic year 2023-2024, the provisions on the study program will apply, according to the Higher Education Law 199/2023 and this regulation.

Art. 42

(1) If a doctoral supervisor simultaneously leads more than 8 doctoral students who started the doctoral study program before the date of entry into force of the Higher Education Law 199/2023, the respective doctoral students may continue their studies with the same doctoral supervisor until their completion.

(2) Until the beginning of the academic year 2026-2027, the doctoral supervisors of the Babeş-Bolyai University will progressively be included in the norm of doctoral students per supervisor, provided for in art. 14 para. (5).

(3) Doctoral supervisors, who supervised doctoral students on the date of entry into force of the Higher Education Law 199/2023 and who, whether they have reached the age of 70 before the entry into force of this law, or who will reach this age after its entry into force, may by right continue the management of those doctoral students as doctoral students until the end of the doctoral studies of the respective doctoral students.

Art.43

(1) Fee-paying doctoral students who were enrolled at Babeş-Bolyai University before October 1, 2024 and continue their doctoral studies after this date, the transitional provisions of the paragraphs below of this article apply to the study fee.

(2) If, before the date of entry into force of this Regulation, a fee-paying doctoral student has paid the study fee for a period of study that does not end at the end of an academic semester after the date of entry into force of this Regulation, but during one semester, without the doctoral student having completed his doctoral studies, Then that doctoral student has the following two possibilities:

a) The doctoral student continues his studies in that semester until the end of it. For the unpaid period of the semester, he will pay at the beginning of it a study fee proportional to the duration in months (1 month = 30 days) of this transition period. The duration of the transition period is rounded by the absence, if it is not a whole number of months. The study fee for one month represents 1/12 of the study fee set for the academic year to which the transition period belongs. Starting with the semester following the transition period, the doctoral student then continues his/her studies in accordance with this Regulation.

b) The doctoral student does not continue his studies in that semester. In this situation, it requests an interruption from the end of the paid period until the end of the respective semester. Starting with the semester following this period of interruption, the doctoral student then continues his/her studies in accordance with this Regulation.

(3) If, before the date of entry into force of this Regulation, a fee-paying doctoral student has started a period of interruption and it does not end at the end of an academic semester after the date of entry into force of this Regulation, but during one academic semester, then that doctoral student has the following two possibilities:

a) The doctoral student requests the continuation of the interruption until the end of the respective semester. After the interruption ends, the doctoral student continues his/her studies in accordance with this Regulation.

b) The doctoral student resumes his doctoral studies in that semester. In this situation, on the date of resumption of studies, he will pay for the remaining period of the semester, i.e. until the end of it, a study fee proportional to the duration in months (1 month = 30 days) of this transition period. The study fee for this transition period is established as in para. (2) letter a). Starting with the semester following the transition period, the doctoral student then continues his/her studies in accordance with this Regulation.

(4) To doctoral students on a fee-paying basis, who do not fall under any of paragraphs (2) or (3), this Regulation shall apply from the date of its entry into force.

Art.44

For doctoral students who have completed a previous doctoral internship and for whom, after enrollment, its full or partial equivalence has been requested and approved, the duration of the new doctoral internship may be reduced. At the request of the doctoral student, under the conditions of fulfilling all the obligations under the contract and in the individual plan of doctoral studies, with the approval of the doctoral supervisor, the approval of the CSUD and the UBB Senate, the scheduling of the defense of the doctoral thesis can be carried out, assuming the legal consequences in case of invalidation of the thesis and/or not obtaining the doctoral title, following the defense.

Art. 45

For doctoral students enrolled before the entry into force of the new standards for obtaining the doctoral degree, those from the date of enrollment remain valid and apply for evaluation.

Art. 46

This Regulation is an annex to the Charter of Babeş-Bolyai University and enters into force from the date of its adoption by the Senate of Babeş-Bolyai University.